



# Localized Automorphisms and Endomorphisms

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**Abstract.** We give a practical criterion of invertibility of endomorphisms of  $O_n$  corresponding to unitaries in the normalizer of the diagonal inside the uniformly hyperfinite subalgebra. We also analyze the action of such localized automorphisms on the spectrum of the diagonal thus obtaining criteria of outerness. Unital endomorphisms of the Cuntz algebra  $O_n$  which preserve the canonical uniformly hyperfinite-subalgebra  $F_n \subseteq O_n$  are investigated. We give examples of such endomorphisms  $\lambda = \lambda_u$  for which the associated unitary element  $u$  in  $O_n$ .

**Keywords.** Algebra, Endomorphism, Automorphism, Uniformly hyperfinite, Cuntz algebra

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## 1. Introduction

The  $C^*$ -algebra generated by all words of the form  $S_\alpha S_\beta^*$ ,  $\alpha, \beta \in W_n^k$ , and it is isomorphic to the matrix algebra  $M_{n^k}(C)$ . The  $F_n$ , the norm closure of  $\bigcup_{k=0}^{\infty} F_n^k$ , is the uniformly hyperfinite-algebra of type  $n^\infty$ , called the core uniformly hyperfinite-subalgebra of  $O_n$ . It is the fixed point algebra for the periodic gauge action of the reals:  $\alpha : R \rightarrow \text{Aut}(O_n)$  defined on generators as  $\alpha_t(S_i) = e^{it} S_i$ ,  $t \in R$  (see Cuntz [9]).

We denote by  $S_n$  the group of those unitaries in  $O_n$  which can be written as finite sums of words, i.e., in the form  $u = \sum_{j=1}^m S_{\alpha_j} S_{\beta_j}^*$  for some  $\alpha_j, \beta_j \in W_n$ . It turns out that  $S_n$  is isomorphic to the Higman-Thompson group  $G_{n,1}$ . We also denote  $P_n = S_n \cap U(F_n)$ . Then  $P_n = \bigcup_k P_n^k$ , where  $P_n^k$

are permutation unitaries in  $U(F_n^k)$ . That is, for each  $u \in P_n^k$  there is a unique permutation  $\sigma$  of multi-indices  $W_n^k$  such that  $u = \sum_{\alpha \in W_n^k} S_{\sigma(\alpha)} S_{\alpha}^*$  (Nekrashevych [23]).

For  $u$  a unitary in  $O_n$  we denote by  $\lambda_u$  the unital endomorphism of  $O_n$  determined by  $\lambda_u(S_i) = u S_i$ ,  $i = 1, \dots, n$ . We denote by  $\varphi$  the canonical shift:  $\varphi(x) = \sum_i S_i x S_i^*$ ,  $x \in O_n$ . Note that  $\varphi$  commutes with the action  $\alpha$ . If  $u \in U(O_n)$  then for each positive integer  $k$  we denote

$$u_k = u\varphi(u)\dots\varphi^{k-1}(u).$$

We agree that  $u_k^*$  stands for  $(u_k)^*$ . If  $\alpha$  and  $\beta$  are multi-indices of length  $k$  and  $m$ , respectively, then  $\lambda_u(S_{\alpha} S_{\beta}^*) = u_k S_{\alpha} S_{\beta}^* u_m^*$ . This is established through a repeated application of the identity  $S_i a = \varphi(\alpha) S_i$ , valid for all  $i = 1, \dots, n$  and  $a \in O_n$  (Cuntz [9], and Nekrashevych [23]).

## 2. The Cuntz Algebra Which Preserve the Diagonal Subalgebra

If  $n$  is an integer greater than 1, then the Cuntz algebra  $O_n$  is unital, simple  $C^*$ -algebra generated by  $n$  isometries  $S_1, \dots, S_n$  satisfying  $\sum_{i=1}^n S_i S_i^* = 1$ , we denote by  $W_n^k$  the set of  $k$ -tuples

$\alpha = (\alpha^1, \dots, \alpha^k)$  with  $\alpha^m \in \{1, \dots, n\}$ , and we denote by  $W_n$  the union  $\bigcup_{k=0}^{\infty} W_n^k$  where  $W_n^0 = \{0\}$ .

Elements of  $W_n$  are called multi-indices and if  $\alpha \in W_n^k$  then  $l(\alpha) = k$ , the length of  $\alpha$ . If  $\alpha = (\alpha^1, \dots, \alpha^k) \in W_n^k$ , then  $S_{\alpha} = S_{\alpha^1} \dots S_{\alpha^k}$ , with  $S_0 = 1$  by convention [7, 14, 15]. Every  $S_{\alpha}$  is an isometry and its range projection are  $S_{\alpha} S_{\alpha}^*$ . Every word in  $\{S_i, S_i^*, i = 1, \dots, n\}$  can be uniquely expressed as  $S_{\alpha} S_{\beta}^*$  for some  $\alpha, \beta \in W_n$  (Cuntz [7, 9], and Hong [14]).

**Lemma 2.1** ([6]). *If  $\lambda_w \in \text{Aut}(O_n, D_n)$  and  $w \in F_n$  then  $\lambda_w(F_n) \subseteq F_n$ .*

*Proof.* Let  $\gamma$  be the standard gauge action of the circle group on  $O_n$ , for which  $F_n$  is the fixed-point algebra. Then for each  $z \in U(1)$  we have  $\lambda_w \gamma_z = \gamma_z \lambda_w$ . Thus, also  $\lambda_w^{-1} \gamma_z = \gamma_z \lambda_w^{-1}$  and consequently  $\lambda_w^{-1}$  preserves the fixed-point algebra of  $\gamma$ . That is  $\lambda_w^{-1}(F_n) \subseteq F_n$ , as required.

Since  $N_{D_n}(O_n) = U(D_n) \rtimes S_n$  by Cuntz [9], it easily follows that  $N_{D_n}(F_n) = U(D_n) \rtimes P_n$ , where  $P_n = S_n \cap F_n$ . We see that  $P_n$  is contained in the algebraic part  $\bigcup_{k=0}^{\infty} F_n^k$  of  $F_n$ , and write

$P_n^k = P_n \cap F_n^k$ . It is not difficult to see that unitaries in  $P_n$  are related to permutations of multi-indices, as follows. Let  $P_n^k$  denote the set of permutations of  $W_n^k$ , and let  $P_n = \bigcup_{k=0}^{\infty} P_n^k$ . Then, for

each unitary  $w \in P_n^k$  there exists a permutation  $\sigma \in P_n^k$  such that

$$w = \sum_{\alpha \in W_n^k} S_{\sigma(\alpha)} S_{\alpha}^*. \tag{2.1}$$

In that case we write  $w \sim \sigma$  and  $\lambda_w = \lambda_{\sigma}$ . We denote

$$\lambda(P_n)^{-1} = \{\lambda_w \in \text{Aut}(O_n) : w \in P_n\}. \tag{2.2}$$

**Theorem 2.2.**  *$\text{Aut}(O_n, D_n) \cap \text{Aut}(O_n, F_n) \cong U(D_n) \cong \lambda(P_n)^{-1}$ . In particular,  $\lambda(P_n)^{-1}$  is a subgroup of  $\text{Aut}(O_n, D_n) \cap \text{Aut}(O_n, F_n)$ .*

*If  $u \in U(O_n)$ , then  $\text{Ad}(u) = \lambda_{\Phi(u)u^*}$  is the inner automorphism of  $O_n$  determined by  $u$ . We denote by  $\text{Inn}(O_n)$  the group of inner automorphisms of  $O_n$  (Conti et al. [6]).*

**Theorem 2.3.** *If  $u \in P_n$  and  $\lambda_u$  is invertible then the following conditions are equivalent:*

- (i) *automorphism  $\lambda_u$  has infinite order,*
- (ii) *the  $Z$  action on  $O_n$  generated by  $\lambda_u$  is outer,*
- (iii) *the  $Z$  action on  $X_n$  generated by  $\lambda_u$  is topologically free.*

*Proof.* (i) $\Rightarrow$ (ii): This follows from the fact that if  $\lambda_w \in Inn(O_n)$  then  $\lambda_u$  has finite order.

(ii) $\Rightarrow$ (iii): If the action is not topologically free then for some  $m$  the set of  $h_u^m$  has a non-empty interior. Thus, there exists  $(x_1, \dots, x_r)$  such that  $h_u^m$  fixes each sequence  $(y_i)$  whose initial segment coincides with  $(x_1, \dots, x_r)$ . But then  $h_u^m$  is inner.

(iii) $\Rightarrow$ (i): This is obvious. □

We now give a practical criterion of invertibility of endomorphisms corresponding to permutations (Szymański [25]). First recall that  $End(O_n)$  contains a distinguished endomorphism  $\Phi$ , called shift, such that

$$\Phi(a) = \sum_{i=1}^n S_i a S_i^* . \tag{2.3}$$

Let  $u \in p_n^k$ . If  $k \geq 2$  then we define

$$B_w = \{w, \Phi(w), \dots, \Phi^{k-2}(w)\}' \cap f_n^{k-1} . \tag{2.4}$$

Here prime denotes the commutant.  $k \leq 1$  then we set  $B_w = C1$ . One checks that  $b \in f_n^{k-1}$  belongs to  $B_w$  if and only if for each pair  $\alpha, \beta \in W_n^l$ ,  $l \in \{0, 1, \dots, k-2\}$ ,  $S_\alpha^* b S_\beta$  commutes with  $w$ . We define a vector space  $V_w$  as the quotient

$$V_w = \frac{f_n^{k-1}}{B_w} . \tag{2.5}$$

Now for each pair  $i, j \in \{1, \dots, n\}$  we define a linear  $\alpha_{ij}^w : f_n^{k-1} \rightarrow f_n^{k-1}$  such that

$$\alpha_{ij}^w(b) = S_i^* w b w^* S_j . \tag{2.6}$$

One checks that  $\alpha_{ij}^w(B_w) \subseteq B_w$  for each  $i, j$ . Thus,  $\alpha_{ij}^w$  induces a linear map

$$\tilde{\alpha}_{ij}^w : V_w \rightarrow V_w . \tag{2.7}$$

With this preparation we make the following definition:

$$A_w = \text{the subring of } End(V_w) \text{ generated by } \{\tilde{\alpha}_{ij}^w : i, j = 1, \dots, n\} . \tag{2.8}$$

Now we are ready to showed the following.

**Theorem 2.4.** *If  $w \in P_n$  then endomorphism  $\lambda_w$  is invertible if and only if the corresponding ring  $A_w$  is nilpotent.*

*Proof.* Let  $w \in p_n^k$  and suppose that  $\lambda_w$  is invertible. There exists  $u \in p_n$  such that  $\lambda_w^{-1} = \lambda_u$ . Thus, there exists positive integer  $l$  such that  $\lambda_w^{-1}(f_n^{k-1}) \subseteq f_n^l$ . For each  $a \in f_n^l$  the sequence  $Ad(w^* \Phi(w^*) \dots \Phi^m(w^*))(a)$  stabilizes from  $m = l - 1$  at the value  $\lambda_w(a)$ . Consequently, for each  $b \in f_n^{k-1}$  the sequence  $Ad(\Phi^m(w) \dots \Phi(w)w)(b)$  stabilizes from  $m = l - 1$  at the value  $\lambda_w^{-1}(b)$ . There exist elements  $c_{\mu\nu}(b) \in f_n^{k-1}$ ,  $\mu, \nu \in W_n^l$ , such that for each  $r \geq 1$  we have

$$\begin{aligned} \sum_{\mu, \nu \in W_n^l} S_\mu c_{\mu\nu}(b) S_\nu^* &= Ad(\Phi^{l-1}(w) \dots \Phi(w)w)(b) \\ &= Ad(\Phi^{l-1+r}(w) \dots \Phi(w)w)(b) \\ &= \sum_{\mu, \nu \in W_n^l} S_\mu Ad(\Phi^{r-1}(w))(c_{\mu\nu}(b)) S_\nu^*. \end{aligned}$$

Hence  $c_{\mu\nu}(b) = Ad(\Phi^{r-1}(w))(c_{\mu\nu}(b))$ . Thus  $\{c_{\mu\nu}(b) : b \in f_n^{k-1}, \mu, \nu \in W_n^l\} \subseteq B_w$ . If  $\alpha = (i_1, \dots, i_l)$  and  $\beta = (j_1, \dots, j_l)$ , then, let  $T_{\alpha,\beta} = \alpha_{i_l j_l}^w \dots \alpha_{i_1 j_1}^w$ . For each  $b \in f_n^{k-1}$ , we have  $T_{\alpha,\beta}(b) = c_{\alpha,\beta}(b)$ . Consequently,  $A_w^l = \{0\}$  and  $A_w$  is nilpotent.

Now, Let  $w \in p_n^k$  and suppose that  $A_w^l = \{0\}$ . Let  $b \in f_n^{k-1}$  and define  $T_{\alpha,\beta}$  as above.  $T_{\alpha,\beta}(b)$  commutes with  $Ad(\Phi^m(w))$  for any  $m$ . Hence if  $r \geq 1$ , then we have

$$\begin{aligned} Ad(\Phi^{l-1+r}(w) \dots \Phi(w)w)(b) &= \sum_{\mu, \nu \in W_n^l} S_\mu Ad(\Phi^{r-1}(w))(T_{\mu\nu}(b)) S_\nu^* \\ &= \sum_{\mu, \nu \in W_n^l} S_\mu T_{\mu\nu}(b) S_\nu^*. \end{aligned}$$

Thus, for each  $b \in f_n^{k-1}$  the sequence  $Ad(\Phi^m(w) \dots \Phi(w)w)(b)$  stabilizes  $m = l - 1$ . We have  $w^* = \sum_{i,j=1}^n S_i b_{ij} S_j^*$  for some  $b_{ij} \in f_n^{k-1}$ . It follows from the above argument that the sequence

$$\begin{aligned} Ad(\Phi^{l-1+r}(w) \dots \Phi(w)w)(w^*) &= \sum_{i,j} Ad(\Phi^{m-1}(w) \dots \Phi(w)w)(S_i B_{ij} S_j^*) \\ &= \sum_{i,j} S_i Ad((\Phi^{m-1}(w) \dots \Phi(w)w))(b_{ij}) S_j^* \end{aligned}$$

stabilizes from  $m = l$  at the value  $\lambda_w^{-1}(w^*)$ . Consequently,  $\lambda_w$  is invertible (Izumi [16], Kawamura [20], and Pask and Rennie [24]). □

### 3. Canonical Uniformly Hyperfinite-subalgebra

We expand the initial observations on endomorphisms preserving the canonical uniformly hyperfinite-subalgebra in a more systematic manner (Cuntz [8]). We study a particularly interesting class of such endomorphisms related to certain elements in the normalizer of the canonical (Cuntz [9]).

**Proposition 3.1** ([6]). *Let  $u$  be a unitary in  $O_n$  and let  $v$  be a unitary in the relative commutant  $\lambda_u(F_n)' \cap O_n$ . Define  $w := u\varphi(v)$ . Then the restrictions of endomorphisms  $\lambda_u$  and  $\lambda_w$  coincide on  $F_n$ . Likewise, if  $\tilde{w} = vu$  then the restrictions of endomorphisms  $\lambda_u$  and  $\lambda_{\tilde{w}}$  coincide on  $F_n$ .*

*Proof.* It is enough to compute the action of  $\lambda_w$  on all elements of the form  $S_{\alpha_1} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_1}^*$  for every integer  $k \geq 1$  and all  $\alpha_i$  and  $\beta_j$  in  $\{1, \dots, n\}$ , for all  $1 \leq i, j \leq k$ . To this end, we verify by induction on  $k$  that

$$\lambda_w(S_{\alpha_1} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_1}^*) = \lambda_u(S_{\alpha_1} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_1}^*).$$

Indeed, for  $k = 1$ , we have

$$\lambda_w(S_{\alpha_1} S_{\beta_1}^*) = w S_{\alpha_1} S_{\beta_1}^* w^* = u\varphi(v) S_{\alpha_1} S_{\beta_1}^* \varphi(v)^* u^* = u S_{\alpha_1} S_{\beta_1}^* u^* = \lambda_u(S_{\alpha_1} S_{\beta_1}^*).$$

Since  $\varphi(v)$  and  $S_{\alpha_1}S_{\beta_1}^*$  commute. Now assuming the identity holds for  $k - 1$ , we have

$$\begin{aligned} \lambda_w(S_{\alpha_1} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_1}^*) &= \lambda_w(S_{\alpha_1}) \lambda_w(S_{\alpha_2} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_2}^*) \lambda_w(S_{\beta_1}^*)^* \\ &= u\varphi(v) S_{\alpha_1} \lambda_u(S_{\alpha_2} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_2}^*) S_{\beta_1}^* \varphi(v)^* u^* \\ &= u S_{\alpha_1} v \lambda_u(S_{\alpha_2} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_2}^*) v^* S_{\beta_1}^* u^* \\ &= u S_{\alpha_1} \lambda_u(S_{\alpha_2} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_2}^*) S_{\beta_1}^* u^* \\ &= \lambda_u(S_{\alpha_1} \dots S_{\alpha_k} S_{\beta_k}^* \dots S_{\beta_1}^*), \end{aligned}$$

since  $v$  is in the commutant of  $\lambda_u(F_n)$ . The proof of the remaining claim is similar. □

**Proposition 3.2.** *Let  $u$  be a unitary in  $O_n$ , then*

$$\lambda_u(F_n)' \cap O_n = \bigcap_{k \geq 1} (Adu \circ \phi)^k(O_n).$$

*Proof.* Clearly, an element  $x \in O_n$  lies in  $\lambda_u(F_n)' \cap O_n$  if and only if, for all  $k \geq 1$  and all  $y \in F_n^k$ ,  $x$  commutes with  $\lambda_u(y) = u_k y u_k^*$ , i.e.,

$$u_k^* x u_k \in (F_n^k)' \cap O_n = \varphi^k(O_n).$$

This means precisely that, for each  $k \geq 1$ ,  $x$  lies in the range of  $Ad(u_k)\varphi^k = (Adu \circ \varphi)^k$ .

It is also useful to observe that  $Adu \circ \varphi$  restricts to an automorphism of  $\lambda_u(F_n)' \cap O_n$ . This follows from the following simple lemma. □

**Lemma 3.3.** *Let  $A$  be a unital  $C^*$ -algebra and  $\rho$  an injective unital  $*$ -endomorphism of  $A$ , then  $\rho$  restricts to a  $*$ -automorphism of*

$$A_\rho := \bigcap_{k \in \mathbb{N}} \rho^k(a).$$

*Proof.* One has a descending tower of unital  $C^*$ -subalgebras of  $A$ ,

$$A \supset \rho(a) \supset \rho^2(a) \supset \dots,$$

thus  $A_\rho$  is a unital  $C^*$ -subalgebra of  $A$ . A  $A_n$  element  $x \in A_\rho$  satisfies

$$x = \rho(x_1) = \rho^2(x_2) = \dots = \rho^k(x_k) = \dots$$

for elements  $x_1, \dots, x_k, \dots$  in  $A$ . It is then clear that  $\rho$  maps  $A_\rho$  into itself, and moreover  $x_1, \dots, x_k, \dots \in A_\rho$  so that in particular  $\rho(A_\rho) = A_\rho$ .

Endomorphisms  $\rho$  for which  $A_\rho = C1$  are often called shifts.

To this end, it suffices to find a unitary  $u \in F_n$  such that the relative commutant  $\lambda_u(F_n)' \cap O_n$  is not contained in  $F_n$ . This is possible. In fact, one can even find unitaries in a matrix algebra  $F_n^k$  such that  $\lambda_u(F_n)' \cap O_n$  is not contained in  $F_n$ . The existence of such unitaries was demonstrated in [8], [18], [22]. The relative commutant  $\lambda_u(O_n)' \cap O_n$  coincides with the space  $(\lambda_u, \lambda_u)$  of self-intertwiners of the endomorphism  $\lambda_u$ , which can be computed as

$$(\lambda_u, \lambda_u) = \{x \in O_n : x = (Adu \circ \varphi)(x)\}. \quad \square$$

**Proposition 3.4.** *There are sequences  $\{v_n\}$  and  $\{w_n\}$  of unitaries in  $F_2$  such that*

- (i)  $\{\lambda_{v_n}\}$  is asymptotically central in  $O_2$ ,
- (ii)  $\|w_n \lambda_{v_{n+1}}(S_j) w_n^* - \lambda_{v_n}(S_j)\| < 2^{-n}$ , for all  $n \in \mathbb{N}$  and for  $j = 1, 2$ ,

(iii)  $\|w_n S_j w_n^* - S_j\| < 2^{-n}$ , for all  $n \in N$  and for  $j = 1, 2$ .

*Proof.* Let  $\{v_n\}$  be as in Proposition 3.2. Then  $\{v_n\}$  and any subsequence there of will satisfy (i). Upon passing to a subsequence we can assume that

$$\|\lambda_{v_m}(S_i)\lambda_{v_n}(S_j) - \lambda_{v_n}(S_j)\lambda_{v_m}(S_i)\| < \frac{1}{n}, \tag{3.1}$$

for all  $m > n \geq 1$  and for all  $i, j = 1, 2$ . We claim that one can find a sequence  $\{w_n\}$  of unitaries in  $F_2$  satisfying (ii) and (iii) above — provided that we again pass to a subsequence of  $\{v_n\}$ . It suffices to show that for each  $\delta > 0$  there exists a natural number  $n$  such that for each natural number  $m > n$  there is a unitary  $w \in F_2$  for which

$$\|w\lambda_{v_m}(S_j)w^* - \lambda_{v_n}(S_j)\| < \delta, \quad \|wS_jw^* - S_j\| < \delta$$

for  $j = 1, 2$ . We give an indirect proof of the latter statement. If it were false, then there would exist  $\delta > 0$  and a sequence  $1 \leq n_1 < n_2 < n_3 < \dots$  such that one of

$$\|w\lambda_{v_{n_k+1}}(S_i)w^* - \lambda_{v_{n_k}}(S_i)\|, \quad \|wS_iw^* - S_i\|,$$

$i = 1, 2$ , is greater than  $\delta$  for every  $k$  and for all unitaries  $w$  in  $F_2$ . We proceed to show that this will lead to a contradiction.

Choose a free ultrafilter  $\omega$  on  $N$  and consider the relative commutant  $O'_2 \cap (O_2)_\omega$  inside the ultrapower  $(O_2)_\omega$ . This  $C^*$ -algebra is purely infinite and simple. Consider the unital  $*$ -homomorphisms  $\eta_1, \eta_2 : O_2 \rightarrow O'_2 \cap (O_2)_\omega$  given by

$$\begin{aligned} \eta_1(x) &= \pi_\omega(\lambda_{v_{n_2}}(x), \lambda_{v_{n_3}}(x), \lambda_{v_{n_4}}(x), \dots), \\ \eta_2(x) &= \pi_\omega(\lambda_{v_{n_1}}(x), \lambda_{v_{n_2}}(x), \lambda_{v_{n_3}}(x), \dots), \end{aligned}$$

$x \in O_2$ , where  $\pi_\omega : \ell^\infty(O_2) \rightarrow (O_2)_\omega$  is the quotient mapping. The images of  $\eta_1$  and  $\eta_2$  commute by (3.1). Put

$$u = \eta_2(S_1)\eta_1(S_1)^* + \eta_2(S_2)\eta_1(S_2)^* = \pi_\omega(v_{n_1}v_{n_2}^*, v_{n_2}v_{n_3}^*, v_{n_3}v_{n_4}^*, \dots),$$

and notice that  $u$  is a unitary element in  $O'_2 \cap (F_2)_\omega \subseteq O'_2 \cap (O_2)_\omega$ . To obtain a sequence  $\{w_n\}$  of unitaries in  $C^*(\eta_1(F_2), u) \subseteq O'_2 \cap (F_2)_\omega$  such that  $w_n \eta_1(S_j)w_n^* \rightarrow \eta_2(S_j)$  for  $j = 1, 2$ . By [8] there is a single unitary  $w$  in  $O'_2 \cap (F_2)_\omega$  such that  $w\eta_1(S_j)w^* = \eta_2(S_j)$  for  $j = 1, 2$  (and hence such that  $w\eta_1(x)w^* = \eta_2(x)$  for all  $x \in O_2$ ).

Each unitary element in the ultrapower  $(F_2)_\omega$  lifts to a unitary element in  $\ell^\infty(F_2)$ , so we can write

$$w = \pi_\omega(w_1, w_2, w_3, \dots),$$

where each  $w_n$  is a unitary element in  $F_2$ . This establishes the desired contradiction, as

$$\lim_{n \rightarrow \omega} \|S_j w_n - w_n S_j\| = 0, \quad \lim_{n \rightarrow \omega} \|w_n \lambda_{v_{n_k+1}}(S_j)w_n^* - \lambda_{v_{n_k}}(S_j)\| = 0,$$

for  $j = 1, 2$  and for all  $k$ . □

**Theorem 3.5.** *There is a unitary element  $u \in F_2$  such that the relative commutant  $\lambda_u(O_2)' \cap O_2$  contains a unital copy of  $O_2$ .*

*Proof.* Let  $\{v_n\}$  and  $\{w_n\}$  be as in Proposition 3.4 and define endomorphisms on  $O_2$  by

$$\lambda_n(x) = w_1 w_2 \dots w_n \lambda_{v_{n+1}}(x) w_n^* \dots w_2^* w_1^*, \quad \rho_n(x) = w_1 w_2 \dots w_n x w_n^* \dots w_2^* w_1^*,$$

for  $x \in O_2$ . Then

$$\|\lambda_n(S_j) - \lambda_{n-1}(S_j)\| < 2^{-n}, \quad \|\rho_n(S_j) - \rho_{n-1}(S_j)\| < 2^{-n}$$

for  $j = 1, 2$ , and  $\lambda_n(x)\rho_n(y) - \rho_n(y)\lambda_n(x) \rightarrow 0$  for all  $x, y \in O_2$ . Using that

$$w\lambda_u(x)w^* = \lambda_{wu\varphi(w)^*}(x)$$

whenever  $w$  is a unitary in  $O_2$  and  $x \in O_2$ , we see that  $\lambda_n = \lambda_{u_n}$  for some unitary  $u_n$  in  $F_2$ . It follows from the estimates above that the sequences  $\{\lambda_n(S_j)\}$  and  $\{\rho_n(S_j)\}$ ,  $j = 1, 2$ , and hence also the sequence  $\{u_n\}$ , are Cauchy and therefore convergent. Let  $\lambda : O_2 \rightarrow O_2$  and  $\rho : O_2 \rightarrow O_2$  be the (pointwise-norm) limits of the sequences  $\{\lambda_n\}$  and  $\{\rho_n\}$ , respectively, and let  $u \in F_2$  be the limit of the sequence  $\{u_n\}$ . Then  $\lambda = \lambda_u$  and the images of  $\lambda$  and  $\rho$  commute.  $\square$

**Corollary 3.6.** *There is a unital  $*$ -homomorphism  $\sigma : O_2 \otimes O_2 \rightarrow O_2$  such that  $\sigma(F_2 \otimes F_2) \subseteq F_2$ .*

*Proof.* Take  $\lambda : O_2 \rightarrow O_2$  and  $\rho : O_2 \rightarrow O_2$ . Recall that  $\lambda$  and  $\rho$  have commuting images and that  $\lambda(F_2) \subseteq F_2$  and  $\rho(F_2) \subseteq F_2$ . We can therefore define a  $*$ -homomorphism  $\sigma : O_2 \otimes O_2 \rightarrow O_2$  by

$$\sigma(x \otimes y) = \lambda(x)\rho(y), x, y \in O_2.$$

Then

$$\sigma(F_2 \otimes F_2) = \lambda(F_2)\rho(F_2) \subseteq F_2.$$

We know that  $O_2 \otimes O_2$  and  $O_2$  are isomorphic, but we do not know if one can find an isomorphism  $\sigma : O_2 \otimes O_2 \rightarrow O_2$  such that  $\sigma(F_2 \otimes F_2)$  is contained in (or better, equal to)  $F_2$ .

Below,  $\phi$  denotes the standard left inverse of  $\varphi$ , i.e., the unital, completely positive map given by  $\phi(x) := \frac{1}{n} \sum S_i^* x S_i$ ,  $x \in O_n$ .  $\square$

**Theorem 3.7.** *Let  $u \in U(O_n)$ , then the following conditions are equivalent:*

- (i)  $\phi(u) \in U(O_n)$ ,
- (ii)  $u \in \varphi(O_n)$ ,
- (iii)  $S_i^* u S_i = S_j^* u S_j \in U(O_n)$ , for all  $i, j \in \{1, \dots, n\}$ .

*Proof.* (i) $\Rightarrow$ (ii): It follows from (i) that  $u$  lies in the multiplicative domain of  $\phi$  and therefore, by Choi's theorem,  $\phi(S_i u) = \phi(S_i)\phi(u)$ , that is  $u S_i = S_i \phi(u)$  for all  $i = 1, \dots, n$ . Thus,  $u = \varphi(\phi(u))$ .

The implications (ii) $\Rightarrow$ (iii) and (iii) $\Rightarrow$ (i) are obvious.  $\square$

**Proposition 3.8.** *Let  $w \in U(O_n)$  be such that  $\lambda_w t(F_n^1) \subseteq F_n$ . Then the unitary  $\alpha$ -cocycle  $z_t^{(1)} := \phi(w^* \alpha_t(w))$  is a coboundary, i.e., there exists a unitary  $z$  such that  $z_t^{(1)} = z \alpha_t(z^*)$  for all  $t \in \mathbb{R}$ .*

*Proof.* Indeed, since  $\lambda_w(F_n^1) \subseteq F_n$  there exists a unitary  $u \in F_n$  such that  $\lambda_w$  and  $\lambda_u$  coincide on  $F_n^1$ . In fact, we could take as  $\lambda_u$  an inner automorphism implemented by a unitary in  $F_n$ . Then  $w^* u$  commutes with  $F_n^1$ , and thus there exists a unitary  $z$  such that  $w^* u = \varphi(z)$ . Now we have  $\varphi(z \alpha_t(z^*)) = w^* u \alpha_t(u^*) \alpha_t(w) = w^* \alpha_t(w)$ , since  $\alpha_t(u^*) = u^*$ .  $\square$

**Proposition 3.9.** *Let  $w \in S_n$  be such that  $\lambda_w(D_n) = D_n$  or, more generally, such that  $D_n \subseteq \lambda_w(F_n)$ . Then  $\lambda_w(F_n) \subseteq F_n$  if and only if  $w \in P_n$ .*

*Proof.* An element of  $S_n$  normalizes  $D_n$  and thus satisfies the first assumption in the previous corollary. Then, the only nontrivial assertion follows from the fact that an endomorphism  $\lambda_w$  of  $O_n$  such that  $\lambda_w(F_n) \supseteq D_n$  is necessarily irreducible in restriction to  $F_n$  by Szymański [25] an argument similar to using the facts that  $D_n$  in  $F_n$  is simple.  $\square$

**Corollary 3.10.** *Let  $D$  be a unital  $C^*$ -algebra, and suppose that  $\eta_n, \eta_{n+1} : O_{n+1} \rightarrow D$  are unital  $*$ -homomorphisms with commuting images. There is a sequence  $\{w_n\}$  of unitaries in the sub- $C^*$ -algebra  $D_0 = C^*(\eta_n(F_{n+1}), u)$ , where*

$$u = \eta_{n+1}(S_n)\eta_n(S_n)^* + \eta_{n+1}(S_{n+1})\eta_n(S_{n+1})^*,$$

*such that  $w_n\eta_n(x)w_n^* \rightarrow \eta_{n+1}(x)$ , for all  $x \in O_{n+1}$ .*

*Proof.* The  $*$ -homomorphisms  $\eta_n$  and  $\eta_{n+1}$  induce a  $*$ -homomorphism  $\eta : O_{n+1} \otimes O_{n+1} \rightarrow D$  given by

$$\eta(x \otimes y) = \eta_n(x)\eta_{n+1}(y), \quad x, y \in O_{n+1}.$$

In the notation of Proposition 3.8 we have

$$\eta(u_{n-1}) = u, \quad \eta(F_{n+1} \otimes 1) = \eta_n(F_{n+1}), \quad \eta(1 \otimes F_{n+1}) = \eta_{n+1}(F_{n+1}).$$

It follows from Proposition 3.8 and its proof that  $1 \otimes F_{n+1}$  is contained in the  $C^*$ -algebra generated by  $\{E_{ij}^{(0)}\}$  and  $u_{n-1}$  and hence is contained in  $C^*(F_{n+1} \otimes 1, u_{n-1})$  (Cuntz [8]). The  $C^*$ -algebra  $B$  from that proposition is therefore generated by  $F_{n+1} \otimes 1$  and  $u_{n-1}$ , which shows that  $\eta(b) = D_{n-1}$ .

Let  $\{z_n\}$  be as in Proposition 3.8 and put  $w_n = \eta(z_n) \in D_{n-1}$ . Then

$$w_n\eta_n(x)w_n^* = \eta(z_n(x \otimes 1)z_n^*) \rightarrow \eta(1 \otimes x) = \eta_{n+1}(x),$$

for all  $x \in O_{n+1}$ .  $\square$

**Corollary 3.11.** *For  $v, w \in U(O_n)$  the following three conditions are equivalent:*

- (i) *endomorphisms  $\lambda_v$  and  $\lambda_w$  coincide on  $F_n$ ,*
- (ii) *for each  $\varepsilon \geq 0$  we have  $w_{1+\varepsilon}^*v_{1+\varepsilon} \in \varphi^{1+\varepsilon}(O_n)$ ,*
- (iii) *there exists a sequence of unitaries  $z_{1+\varepsilon} \in U(O_n)$  such that  $z_1 = \phi(w^*v)$  and  $z_{2+\varepsilon} = \phi(w^*z_{1+\varepsilon}v)$  for all  $\varepsilon \geq 0$ .*

*Proof.* The endomorphisms  $\lambda_v$  and  $\lambda_w$  coincide on  $F_n$  if and only if they coincide on each  $F_n^{1+\varepsilon}$ . Now if  $\alpha$  and  $\beta$  are two multi-indices of length  $1 + \varepsilon$  then  $\lambda_v(S_\alpha S_\beta^*) = v_{1+\varepsilon} S_\alpha S_\beta^* v_{1+\varepsilon}^*$  and  $\lambda_w(S_\alpha S_\beta^*) = w_{1+\varepsilon} S_\alpha S_\beta^* w_{1+\varepsilon}^*$ . Thus  $\lambda_v(S_\alpha S_\beta^*) = \lambda_w(S_\alpha S_\beta^*)$  for all such  $\alpha, \beta$  if and only if  $w_{1+\varepsilon}^*v_{1+\varepsilon}$  is in the commutant of  $F_n^{1+\varepsilon}$ , that is when  $w_{1+\varepsilon}^*v_{1+\varepsilon} \in \varphi^{1+\varepsilon}(O_n)$ . Now it easily follows that this holds for all  $1 + \varepsilon$  if and only if condition (iii) above is satisfied.  $\square$

## Competing Interests

The author declares that he has no competing interests.

## Authors' Contributions

The author wrote, read and approved the final manuscript.



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